

How to give mutual funds

Unlike DTC-eligible securities, which may be exchanged almost simultaneously between two legal entities, transferring ownership in a mutual fund requires special handling to complete (as they cannot be transferred under the universal DTC transfer method).

If you wish to give shares of a mutual fund, please contact the KSU Foundation at 785-775-2114 or email SecuritiesGifts@ksufoundation.org to discuss your intention to give mutual funds. We are ready to work with you to complete the transaction. In order to better facilitate our conversation, please obtain the following information for our conversation:

1. What mutual fund(s) are you planning to give? For each type please indicate:

- Fund Name:
- Fund Ticker Symbol:
- Number of Shares/Units:

2. Where are your funds held?

- Financial Institution Name:
- Broker/Advisors Name:
- Broker/Advisors Phone Number:

3. Where/How would you like your gift allocated?

Our team has active accounts in many of the largest and most active financial institutions. After our team receives the above information, we will provide you and your advisor with the best account number and routing instructions to complete the transfer. Following these instructions is important to the foundation receiving and processing your gift in a timely manner.

If you have any questions throughout the process, please contact our team at 785-775-2114 or email SecuritiesGifts@ksufoundation.org.

Transferring securities and mutual funds to the KSU Foundation

Thank you for considering making a gift to the KSU Foundation using securities. Many donors find this giving vehicle to be easy and impactful. Below you will find information on how to make this gift. If you need anything further please reach out to us.

Our federal tax ID number is: 48-0667209.

Securities

United Missouri Bank

DTC #2450

For credit to: #114179.2/Kansas State University Foundation

Reference: (Donor's Name)

Mutual funds

Stifel Financial, Attn: Gregory Barron

DTC #0793

For credit to: #18795329/Kansas State University Foundation

Reference: (Donor's Name)

Stifel contacts:

Office number: 785-776-1066

Greg Barron

Email: BarronG@stifel.com

Derek Haller

Email: hallerd@stifel.com